

UK-Cardiff: accounting and auditing services

OJ S 30/2012 14/02/2012

Contract notice**Services****Directive 2004/18/EC****Section I: Contracting authority**

I.1. Name and addresses

Official name: Finance Wales

Postal address: Finance Wales, 3rd Floor, Oakleigh House, Park Place

Town: Cardiff

Postal code: CF10 3DQ

Country: United Kingdom

For the attention of: Miss Sarah Patnett

E-mail: procurement@financewales.co.uk

Telephone: +44 2920338134

Fax: +44 2920338101

Internet address(es):General address of the contracting authority: <http://www.financewales.co.uk>Address of the buyer profile: <http://www.financewales.co.uk>**Additional information can be obtained from:**

the abovementioned address

Specifications and additional documents (including documents for competitive dialogue and a dynamic purchasing system) can be obtained from:

the abovementioned address

Tenders or requests to participate must be submitted: the abovementioned address**I.2. Type of the contracting authority**

Body governed by public law

I.3. Main activity

Economic and financial affairs

I.4. Contract award on behalf of other contracting authorities

The contracting authority is purchasing on behalf of other contracting authorities: no

Section II: Object of the contract

II.1. Description**II.1.1. Title attributed to the contract by the contracting authority**

Provision of Internal Audit Services.

II.1.2. Type of contract and place of performance or delivery

Services

Service category No 9: Accounting, auditing and bookkeeping services

Main site or place of performance: UKL22.

NUTS code UKL22 Cardiff and Vale of Glamorgan

II.1.3. Information about a framework agreement or a dynamic purchasing system

The notice involves a public contract

II.1.4. Information about framework agreement

II.1.5. Short description of the contract or purchase(s)

Internal audit services for Finance Wales plc, its subsidiaries and associate company ("the FW Group").

Community Benefits do not apply to this contract.

II.1.6. CPV code(s)

79210000 Accounting and auditing services

II.1.7. Information about the Government Procurement Agreement (GPA)

The procurement is covered by the Government Procurement Agreement: yes

II.1.8. Lots

This contract is divided into lots: no

II.1.9. Information about variants

II.2. Scope of the procurement

II.2.1. Total quantity or scope

The FW Group requires the following services:

The primary role is to provide the Chief Executive Officer (Accounting Officer) with an opinion on and evaluation of the adequacy, reliability and effectiveness of the Company's risk management, internal control and governance systems. All of the Company's activities fall within the scope of the service to be provided.

The key attributes of the successful tenderer will be the ability to demonstrate in order of significance:

- financial services expertise,
- experience of the Financial Services Authority,
- European Grant regime knowledge,
- Awareness of the Public Sector audit regime (GIAS).

The work of Internal Audit is to be carried out in accordance with the standards set out in the Government Internal Audit Standards (GIAS) and Government Information Systems Audit Manual (GISAM) and with any subsequent amendments to those standards as advised by HM Treasury, plus any legal and regulatory requirements as applicable in the delivery of this contract. A significant portion of the organisation is subject to regulation by the Financial Services Authority (FSA) and consideration should also be given as to how compliance with their requirements might best be tested.

Further information can be obtained from the Invitation to Tender which can be accessed here: http://www.financewales.co.uk/about_us/procurement.aspx.

II.2.2. Information about options

Options: yes

Provisional timetable for recourse to these options:

in months: 60 (from the award of the contract)

II.2.3. Information about renewals

II.3. Duration of the contract or time limit for completion

Duration in months: 60 (from the award of the contract)

III.1. Conditions related to the contract

III.1.1. Deposits and guarantees required

As specified in the Invitation to Tender http://www.financewales.co.uk/about_us/procurement.aspx.

III.1.2. Main financing conditions and payment arrangements and/or reference to the relevant provisions governing them

As specified in the Invitation to Tender http://www.financewales.co.uk/about_us/procurement.aspx.

III.1.3. Legal form to be taken by the group of economic operators to whom the contract is to be awarded

As specified in the Invitation to Tender http://www.financewales.co.uk/about_us/procurement.aspx.

III.1.4. Contract performance conditions

III.2. Conditions for participation

III.2.1. Suitability to pursue the professional activity, including requirements relating to enrolment on professional or trade registers

List and brief description of conditions: (a) is bankrupt or is being wound up, whose affairs are being administered by the courts, who has entered into an arrangement with creditors or who is in any analogous situation arising from a similar procedure under national laws and regulations;

(b) is the subject of proceedings for a declaration of bankruptcy, for an order for compulsory winding-up or administration by the courts or for an arrangement with creditors or is the subject of any other similar proceeding under national laws or regulations;

(c) has been convicted of an offence concerning his professional conduct by a judgement which has the force of res judicata;

(d) has been guilty of grave professional misconduct proven by any means which the contracting authorities can justify;

(e) has not fulfilled obligations relating to the payment of social security contributions in accordance with the legal provisions of the country in which he is established or those of the country of the contracting authority;

(f) has not fulfilled obligations relating to the payment of taxes in accordance with the legal provisions of the country in which he is established or those of the country of the contracting authority;

(g) is guilty of serious misrepresentation in supplying the information required under the provisions of the Directive on the criteria for qualitative selection;

Further information can be obtained from the Invitation to Tender http://www.financewales.co.uk/about_us/procurement.aspx.

III.2.2. Economic and financial ability

List and brief description of conditions: (a) bank statement and/or evidence of professional risk indemnity insurance;

(c) statement of turnover for a maximum of the last 3 years.

Further information can be obtained from the Invitation to Tender. http://www.financewales.co.uk/about_us/procurement.aspx.

III.2.3. Technical and professional ability

List and brief description of conditions:

As specified in the Invitation to Tender http://www.financewales.co.uk/about_us/procurement.aspx.

III.2.4. Information about reserved contracts

III.3. Conditions specific to services contracts

III.3.1. Information about a particular profession

Execution of the service is reserved to a particular profession: yes

Reference to the relevant law, regulation or administrative provision: Consultants are registered auditors eligible to conduct audits under the Companies Act 1985.

III.3.2. Information about staff responsible for the performance of the contract

Obligation to indicate the names and professional qualifications of the staff assigned to performing the contract: yes

Section IV: Procedure

IV.1. Type of procedure

IV.1.1. Type of procedure

Open

IV.1.2. Information about the limits on the number of candidates to be invited

IV.1.3. Information about reduction of the number of solutions or tenders during negotiation or dialogue

IV.2. Award criteria

IV.2.1. Award criteria

The most economically advantageous tender in terms of Price is not the only award criterion and all criteria are stated only in the procurement documents

IV.2.2. Information about electronic auction

An electronic auction will be used: no

IV.3. Administrative information

IV.3.1. File reference number attributed by the contracting authority

2012/02/KOL

IV.3.2. Previous publication concerning this procedure

no

IV.3.3. Conditions for obtaining specifications and additional documents or descriptive document

IV.3.4. Time limit for receipt of tenders or requests to participate

11.4.2012 - 12:00

IV.3.5. Estimated date of dispatch of invitations to tender or to participate to selected candidates

IV.3.6. Languages in which tenders or requests to participate may be submitted

English.

IV.3.7. Minimum time frame during which the tenderer must maintain the tender

IV.3.8. Conditions for opening of tenders

Date: 11.4.2012 - 12:00

Place:

Oakleigh House, Cardiff.

Persons authorised to be present at the opening of tenders: yes

Information about authorised persons and opening procedure: Sarah Patnett - Business Services Manager.

Section VI: Complementary information

VI.1. Information about recurrence

This is a recurrent procurement: no

VI.2. Information about European Union funds

The procurement is related to a project and/or programme financed by European Union funds:
yes

Identification of the project: JEREMIE Fund - JEREMIE is the acronym for the Joint European Resources for Micro to Medium Enterprises Initiative of the European Commission's Directorate General for Regional Policy (DG Regio).

VI.3. Additional information

Buy4Wales Reference Number: 29243.

VI.4. Procedures for review

VI.4.1. Review body

Official name: Finance Wales

Postal address: Oakleigh House, Park Place,

Town: Cardiff

Postal code: CF10 3DQ

Country: United Kingdom

E-mail: procurement@financewales.co.uk

Telephone: +44 2920338100

Fax: +44 2920338101

Internet address: <http://www.financewales.co.uk>

VI.4.2. Review procedure

Precise information on deadline(s) for review procedures: Finance Wales will incorporate a minimum 10 calendar day standstill period at the point the information on the award of the contract is communicated to tenderers. This period allows unsuccessful tenderers to seek further debriefing from Finance Wales before the contract is entered into. Applicants have two working days from the notification of the award decision to request additional debriefing and that information has to be provided a minimum of three working days before the expiry of the standstill period. Such additional information should be required from the contact named above.

If an appeal regarding the award of a contract has not been successfully resolved, the Public Contracts Regulations 2006 (SI2006 No 5) provide for aggrieved parties who have been

harmed or are at risk of harm by a breach of the rules to take action in the High Court (England, Wales and Northern Ireland). Any such action must be brought promptly (generally within 3 months). Where a contract has not been entered into the Court may order the setting aside of the award decision or order the authority to amend any document and may award damages. If the contract has been entered into the Court may only award damages.

VI.4.3. Service from which information about the review procedure may be obtained

VI.5. Date of dispatch of this notice

9.2.2012