

United Kingdom-Salford: Banking services

OJ S 113/2014 14/06/2014

Contract notice

Services

Directive 2004/18/EC

Section I: Contracting authority

I.1. Name and addresses

Official name: HM Revenue & Customs (HMRC)

Postal address: 5th Floor West Ralli Quays, 3 Stanley Street

Town: Salford

Postal code: M60 9LA

Country: United Kingdom

Contact person: BAK-2014-GBS Money Transmission Services

For the attention of: Michael Kellythorn

E-mail: michael.kellythorn@hmrc.gsi.gov.uk

Telephone: +44 3000587722

Fax: +44 3000588482

Internet address(es):General address of the contracting authority: www.hmrc.gov.ukAddress of the buyer profile: <https://sourcing.hmrc.gov.uk/sso/jsp/login.jsp>Electronic access to information: <https://sourcing.hmrc.gov.uk/sso/jsp/login.jsp>Electronic submission of tenders and requests to participate: <https://sourcing.hmrc.gov.uk/sso/jsp/login.jsp>**Additional information can be obtained from:**

the abovementioned address

Specifications and additional documents (including documents for competitive dialogue and a dynamic purchasing system) can be obtained from:

the abovementioned address

Tenders or requests to participate must be submitted: the abovementioned address**I.2. Type of the contracting authority**

Ministry or any other national or federal authority, including their regional or local subdivisions

I.3. Main activity

Other: Taxation

I.4. Contract award on behalf of other contracting authorities

The contracting authority is purchasing on behalf of other contracting authorities: yes

Official name: Government Banking Service

Postal address: 100 Parliament Street, Westminster

Town: London

Postal code: SW1A 2BQ

Country: United Kingdom

Section II: Object of the contract

II.1. Description

II.1.1. Title attributed to the contract by the contracting authority

Government Banking Service – Money Transmission Services.

II.1.2. Type of contract and place of performance or delivery

Services

Service category No 6: Financial services a) Insurances services b) Banking and investment services

NUTS code UK United Kingdom

II.1.3. Information about a framework agreement or a dynamic purchasing system

The procurement involves the establishment of a framework agreement

II.1.4. Information about framework agreement

Framework agreement with several operators

Number Envisaged maximum number of participants to the framework agreement: 3

Duration of the framework agreement

Duration in years: 7

In the case of framework agreements, provide justification for any duration exceeding 4 years: 7 years is considered the minimum period required for bidders to obtain a return on their initial infrastructure and investment costs, given the scale of requirements and nature of security features that need to be incorporated for this significant cross-Government initiative.

Estimated total value of purchases for the entire duration of the framework agreement

Estimated value excluding VAT:

Range: between 120 000 000 and 210 000 000 GBP

II.1.5. Short description of the contract or purchase(s)

Banking services. Central bank services. Financial transaction processing and clearing-house services. Foreign exchange services. Treasury services. International payment transfer services. The Government Banking Service was established in April 2008 and is the banking shared service provider to government and the wider public sector. It is part of HM Revenue & Customs and incorporates the Office of HM Paymaster General which has provided banking services to the public sector since 1836.

The Government Banking Service's core purposes are to

- 1) Hold the working balances of HM Government at the Bank of England,
- 2) To facilitate modern cost effective direct to bank money transmission services for its customers; and
- 3) To ensure timely and accurate reporting to HM Treasury to help improve Government Cash Management and reduce Government borrowing costs.

Government Banking Service has a requirement to contract with long term strategic partners which can provide value-for-money money transmission services to its customers.

Government Banking Service aims to contract with partners which are willing to develop knowledge, understand the needs of its customers and work with them to innovate on a whole range of payment activity for the benefit of the UK.

The services will evolve rapidly over the proposed life of the framework agreement and the Government Banking Service partners will be required to provide flexible, sustainable solutions which help government achieve its 'digital by default' agenda.

The partners will also be required to ensure government is well positioned to take advantage of the changing payment technology landscape.

The procurement exercise is seeking to establish a pan government framework agreement for use by the UK public sector bodies at V1.3 below. Government Banking Service is seeking suppliers which can provide Money Transmission Services in respect of the following Lots:

Lot 1: Banking and Financial Services - Bank A

Lot 2: Banking and Financial Services - Bank B

Lot 3: Bulk Foreign Exchange Services

The required services are outlined in the sections below: "Information about lots".

The intention is to award the framework agreement to more than one contractor; with a single contractor per Lot. For more information on the lots, please see II.1.8.

Tenderers must note that quantity, value and volumes under the framework agreement are not guaranteed and are indicative figures for information only.

II.1.6. CPV code(s)

66110000 Banking services, 66111000 Central bank services, 66172000 Financial transaction processing and clearing-house services, 66180000 Foreign exchange services, 66600000 Treasury services, 66115000 International payment transfer services

II.1.7. Information about the Government Procurement Agreement (GPA)

The procurement is covered by the Government Procurement Agreement: yes

II.1.8. Lots

This contract is divided into lots: yes

Tenders may be submitted for one or more lots

II.1.9. Information about variants

Variants will be accepted: no

II.2. Scope of the procurement

II.2.1. Total quantity or scope

The upper ranges reflect the Contracting Authority's choice to extend the framework agreement in line with II.2.2 below.

Estimated value excluding VAT:

Range: between 120 000 000 and 210 000 000 GBP

II.2.2. Information about options

Options: yes

Description of options: The Contracting Authority may at its option require the framework agreement to continue in force after expiry of the initial term for up to three (3) successive periods of one (1) year. The option to extend for up to three (3) years is necessary to allow for potential organisational changes, technological developments and advancements, commercial circumstances, migration arrangements or any other transition circumstances. The option to extend is an option for the Contracting Authority only.

II.2.3. Information about renewals

This contract is subject to renewal: yes

Number of possible renewals: Range: between 1 and 3

In the case of renewable supplies or service contracts, estimated timeframe for subsequent contracts:

in months: 36 (from the award of the contract)

II.3. Duration of the contract or time limit for completion

Duration in months: 84 (from the award of the contract)

Information about lots

Lot No: 1

Lot title: Banking and Financial Services - Bank A

1) Short description

The two main recipients of this service are HM Revenue and Customs and Driver and Vehicle Licensing Agency.

Lot 1 requires the following services:

- Accounts and Account Maintenance,
- Electronic Banking Services,
- Bacs Services including: Direct Credit Receipts, Direct Credit Origination, Direct Debit Origination, Direct Debit Payments,
- CHAPS receipts and payments,
- Cross Border receipts and payments,
- Internal / Book Transfers,
- Faster Payments (Receipts and Payments) including: Single Immediate Payments, Forward Dated Payments, Standing Orders,
- Foreign Receipts and Payments,
- Local Banking,
- Bulk Cash/Cheque processing,
- Cheques / Payable Orders Processing,
- Treasury/Cash Management including pooling, sweeping and reporting,

Plus, ancillary support relating to the provision of these services.

The list above is not exhaustive and services required may change throughout the lifetime of the framework agreement in accordance with Government Banking Service and its customers' requirements and/or Payment Industry changes.

The Tenderer must be prepared to innovate and provide value-for-money by enabling Government Banking Service's customers and the customers of those organisations, to make or receive payments using the most cost efficient method.

While HM Revenue and Customs and Driver and Vehicle Licensing Agency are the main recipients of Lot 1 services, the Lot itself is not exclusive. The Contracting Authority reserves the right to allow other Government Banking Service's customers to access the Lot where there is operational and/or strategic fit.

2) CPV code(s)

66110000 Banking services, 66111000 Central bank services, 66172000 Financial transaction processing and clearing-house services, 66180000 Foreign exchange services, 66600000 Treasury services, 66115000 International payment transfer services

3) Quantity or scope

Estimated value excluding VAT:

Range: between 50 000 000 and 85 000 000 GBP

4) Indication about different time frame or duration

5) Additional information about lots

The upper ranges reflect the Contracting Authority's choice to extend the framework agreement in line with II.2.2 above.

Lot No: 2

Lot title: Banking and Financial Services - Bank B

1) Short description

The recipients of this service include all other government departments, executive agencies, non-departmental public bodies, other Crown Bodies and other public sector bodies not covered by Lot 1. This includes Department for Work and Pensions, National Savings and Investments and Department of Health.

Lot 2 requires the following services:

- Accounts and Account Maintenance,
- Electronic Banking Services,
- Bacs Services including: Direct Credit Receipts, Direct Credit Origination , Direct Debit Origination, Direct Debit Payments,
- CHAPS receipts and payments,
- Cross Border receipts and payments,
- Internal / Book Transfers,
- Faster Payments (Receipts and Payments) including: Single Immediate Payments, Forward Dated Payments, Standing Orders,
- Foreign Receipts and Payments,
- Local Banking,
- Bulk Cash/Cheque processing,
- Cheques / Payable Orders / Warrants Processing,
- Treasury/Cash Management including pooling, sweeping and reporting.

Plus, ancillary support relating to the provision of these services.

The list above is not exhaustive and services required may change throughout the lifetime of the framework agreement in accordance with Government Banking Service and its customers' requirements and/or Payment Industry changes.

The Tenderer must be prepared to innovate and provide value-for-money by enabling Government Banking Service's customers and the customers of those organisations, to make or receive payments using the most cost efficient method.

2) CPV code(s)

66110000 Banking services, 66111000 Central bank services, 66172000 Financial transaction processing and clearing-house services, 66180000 Foreign exchange services, 66600000 Treasury services, 66115000 International payment transfer services

3) Quantity or scope

Estimated value excluding VAT:

Range: between 65 000 000 and 110 000 000 GBP

4) Indication about different time frame or duration

5) Additional information about lots

The upper ranges reflect the Contracting Authority's choice to extend the framework agreement in line with II.2.2 above.

Lot No: 3

Lot title: Bulk Foreign Exchange Services

1) Short description

The service required involves making large numbers of regular, low value foreign payments such as pension or salary payments to recipients overseas using standard settlement instructions.

The payments will be initiated in sterling via a bulk file upload for end delivery in the specified foreign currency. The successful partner must be able to demonstrate their capability to access local banking systems globally to provide efficient, secure and cost effective payment methods e.g. automated clearing house facilities or equivalent.

The predominant user of this service is the Department for Work and Pensions in their function of remitting overseas pensions payments. The Contracting Authority reserves the right to allow other Government Banking Service customers to access the Lot where there is operational and /or strategic fit.

2) CPV code(s)

66110000 Banking services, 66111000 Central bank services, 66172000 Financial transaction processing and clearing-house services, 66180000 Foreign exchange services, 66600000 Treasury services, 66115000 International payment transfer services

3) Quantity or scope

Estimated value excluding VAT:

Range: between 5 000 000 and 15 000 000 GBP

4) Indication about different time frame or duration

5) Additional information about lots

The upper ranges reflect the Contracting Authority's choice to extend the framework agreement in line with II.2.2 above.

Section III: Legal, economic, financial and technical information

III.1. Conditions related to the contract

III.1.1. Deposits and guarantees required

Performance bonds, bank guarantees or parent company guarantees may be required. Further details will be given in the contract documentation.

III.1.2. Main financing conditions and payment arrangements and/or reference to the relevant provisions governing them

Payment will be made in accordance with the framework agreement. Further details will be given in the contract documentation.

III.1.3. Legal form to be taken by the group of economic operators to whom the contract is to be awarded

If a consortium bid is awarded a contract, the consortium will be required to form a legal entity before entering into the contract.

III.1.4. Contract performance conditions

The performance of the contract is subject to particular conditions: yes

Description of particular conditions: Any contract awarded will be subject to the Contracting Authority's terms and conditions.

Any contract awarded will be subject to the contractor successfully fulfilling the requirements as laid down by the Government Security Accreditor. The assessment of these requirements will extend throughout the procurement process.

III.2. Conditions for participation

III.2.1. Suitability to pursue the professional activity, including requirements relating to enrolment on professional or trade registers

List and brief description of conditions: As referred to in Section VI.3, expressions of interest must be in the form of a completed Pre-Qualification Questionnaire. General eligibility and suitability to be invited to tender will be considered and applicants will therefore be required to provide a statement within an online Pre-Qualification Questionnaire as to whether any of the circumstances (relating to mandatory and discretionary grounds for exclusion) described in Regulation 23 of the Public Contracts Regulations 2006 apply.

Revenue compliance checks will be undertaken throughout the tendering exercise using (where applicable) information from HMRC's own records. UK applicants will be required to

provide their tax reference numbers: i.e. Value Added Tax registration number, PAYE collection reference and Corporation Tax or Self Assessment reference, as applicable. Overseas or non-UK applicants will be required to submit a certificate of tax compliance obtained from the country in which they are resident for tax purposes. Applicants unable to demonstrate an acceptable revenue compliance record may be excluded from tendering or from further participation in the tendering exercise.

If a company is a subsidiary, a member of a group or a consortium, the above details may also be required in respect of the parent company, other group members or each consortium member as appropriate. Information may also be required in respect of sub-contractors who will prospectively be performing core or major elements of the requirement.

III.2.2. Economic and financial ability

List and brief description of conditions: As referred to in Section VI.3, expressions of interest must be in the form of a completed Pre-Qualification Questionnaire.

Actual requirements will vary depending on the particular requirement or contractual opportunity, but as a minimum the questionnaire will request the following information:

- 1) audited accounts (to include balance sheet and statement of profit and loss) and/or similar financial statements or information;
- 2) statement of turnover in respect of the required goods and/or service only; and
- 3) details of Public Liability and Employers Liability Insurance (as applicable) and other relevant insurances.

If a company is a subsidiary, a member of a group or a consortium, the above details may also be required in respect of the parent company, other group members or each consortium member as appropriate.

III.2.3. Technical and professional ability

List and brief description of conditions:

As referred to in Section VI.3, expressions of interest must be in the form of a completed Pre-Qualification Questionnaire. This will (as a minimum) normally request the following information:

- 1) evidence of previous relevant experience in the last 3 years, demonstrating capacity and ability to provide the required goods and/or services, inclusive of dates, size/value of contract and customer address/contact names for reference purposes;
- 2) information relating to compliance with Health & Safety, Equalities and Environmental legislation; and
- 3) a list of professional associations or trade bodies to which your organisation or individuals in your organisation belong. If a company is a subsidiary, a member of a group or consortia, the above details may also be required in respect of the parent company, other group members or each consortium member as appropriate.

Minimum level(s) of standards possibly required:

It is a minimum requirement for Tenderers to be currently registered with, and regulated by, the UK Financial Conduct Authority / Prudential Regulatory Authority.

It is a minimum requirement for Tenderers to satisfy Government Security Accreditation requirements. Each Tenderer's approach to Security will be assessed in the PQQ stage.

III.2.4. Information about reserved contracts

III.3. Conditions specific to services contracts

III.3.1. Information about a particular profession

Execution of the service is reserved to a particular profession: yes

Reference to the relevant law, regulation or administrative provision: It is a minimum requirement for Tenderers to be currently registered with, and regulated by, the UK Financial Conduct Authority / Prudential Regulatory Authority.

III.3.2. Information about staff responsible for the performance of the contract

Obligation to indicate the names and professional qualifications of the staff assigned to performing the contract: no

Section IV: Procedure

IV.1. Type of procedure

IV.1.1. Type of procedure

Restricted

IV.1.2. Information about the limits on the number of candidates to be invited

Envisaged minimum number 5: and Maximum number 20

Objective criteria for choosing the limited number of candidates: Applicants to be invited to tender will be selected on the basis of their response to the online Pre-Qualification Questionnaire and the selection criteria referred to above.

HMRC anticipates inviting to tender up to 20 suitably qualified, experienced Tenderers.

IV.1.3. Information about reduction of the number of solutions or tenders during negotiation or dialogue

IV.2. Award criteria

IV.2.1. Award criteria

The most economically advantageous tender in terms of Price is not the only award criterion and all criteria are stated only in the procurement documents

IV.2.2. Information about electronic auction

An electronic auction will be used: yes

Additional information about electronic auction: It is not envisaged that an electronic auction will be used; however the Contracting Authority reserves the right to use electronic auctions in the main tendering process.

IV.3. Administrative information

IV.3.1. File reference number attributed by the contracting authority

BAK-2014-GBS Money Transmission Services PQQ

IV.3.2. Previous publication concerning this procedure

Prior information notice

Notice number in the OJ S: [2013/S 93-158558](#) of 15.5.2013

IV.3.3. Conditions for obtaining specifications and additional documents or descriptive document

Payable documents: no

IV.3.4. Time limit for receipt of tenders or requests to participate

9.7.2014 - 12:00

IV.3.5. Estimated date of dispatch of invitations to tender or to participate to selected candidates

IV.3.6. Languages in which tenders or requests to participate may be submitted

English.

IV.3.7. Minimum time frame during which the tenderer must maintain the tender

IV.3.8. Conditions for opening of tenders

Section VI: Complementary information

VI.1. Information about recurrence

This is a recurrent procurement: yes

Estimated timing for further notices to be published: Prior to expiry of the primary period or expiry of an extension to the framework agreement.

VI.2. Information about European Union funds

The procurement is related to a project and/or programme financed by European Union funds:
no

VI.3. Additional information

HMRC is using an eSourcing (eTendering) tool for this procurement. Consequently, expressions of interest are to be submitted via an online Pre-Qualification Questionnaire (PQQ). Information about the e-Sourcing application and about supplying to HMRC in general, is available on the HMRC website: <https://www.gov.uk/government/organisations/hm-revenue-customs/about/procurement>.

Economic operators intending to submit an expression of interest must send an email to "e.procurement@hmrc.gsi.gov.uk". This email should be entitled "BAK-2014-GBS Money Transmission Services PQQ" and must contain the following details: contact email address; contact name; company name and contact telephone number. In the event that an economic operator wishes to secure access for more than one individual in their organisation, they should include the above details for each additional nominee.

For the avoidance of doubt, expressions of interest received to the Banking and Financial Services Prior Information Notice (PIN) [2013/S 093-158558](#) of 15.05.2013 will not be carried forward. Bidders must expressly respond to this Contract Notice if they wish to gain access to the PQQ.

Economic operators who have complied with the foregoing will receive details of how to access the online PQQ. (If an email response from HMRC is not received within one working day of your request, please re-contact "e.procurement@hmrc.gsi.gov.uk" (after first checking your spam inbox) notifying non-receipt and confirming when the request for access was first made).

Online PQQs must be fully completed no later than 12:00 on 9.7.2014. PQQs not submitted in the required form (or containing the requested information) may be rejected. When requesting access to the online PQQ, please notify the Contracting Authority if you have any accessibility issues (disabilities) which may prevent you from providing a high quality response using the eSourcing tool. This will then allow the Contracting Authority to agree with you an appropriate format for you to view and respond to the requirements). All communications shall be in English. Tenders, when invited, and all supporting documentation must be priced in sterling and all payments under the contract will be in sterling.

Any contract or framework agreement resulting from the Invitation to Tender will be considered as a contract made in England according to English Law and subject to the exclusive jurisdiction of the English courts.

The Contracting Authority does not bind itself to accept any tender and reserves the right to accept any part of the tender unless the Tenderer expressly stipulates to the contrary.

The Contracting Authority intends that under this framework agreement, the services will be made available for purchase by it on behalf of all other government departments, executive agencies, non-departmental public bodies, other Crown Bodies and other public sector bodies and organisations found at the following link. <https://www.gov.uk/government/organisations>.

Notwithstanding the foregoing, an organisation's access to the contract will be determined by the Contracting Authority and access will be granted on a case by case basis. This access includes private third parties which process Exchequer funds on behalf of Government Banking Service's customers.

To view this notice, please click here:

<https://www.delta-esourcing.com/delta/viewNotice.html?noticeId=79675940>

GO Reference: GO-201469-PRO-5730119

VI.4. Procedures for review

VI.4.1. Review body

Official name: HMRC

Postal address: 5W Ralli Quays, 3 Stanley Street

Town: Salford

Postal code: M60 9LA

Country: United Kingdom

Telephone: +44 3000587722

Body responsible for mediation procedures

Official name: HMRC

Postal address: 5W Ralli Quays, 3 Stanley Street

Town: Salford

Postal code: M60 9LA

Country: United Kingdom

Telephone: +44 3000587722

VI.4.2. Review procedure

Precise information on deadline(s) for review procedures: The Contracting Authority will incorporate a minimum 10 calendar day standstill period at the time the award of the contract is communicated to Tenderers. This period allows unsuccessful Tenderers to seek further debriefing from the Contracting Authority before the contract is entered into. Such additional information should be requested from the address at I.1. Where a contract has not been entered into, the Court may order the setting aside of the award decision or order the Contracting Authority to amend any document and may award damages. If the contract has been entered into, the Court may only award damages.

VI.4.3. Service from which information about the review procedure may be obtained

Official name: Not applicable

VI.5. Date of dispatch of this notice

9.6.2014