

United Kingdom-London: Smart cards  
OJ S 217/2013 08/11/2013  
Contract notice – utilities  
Services

Directive 2004/17/EC

**Section I: Contracting entity**

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**I.1. Name and addresses**

Official name: Rail Settlement Plan Limited  
Postal address: 2nd Floor, 200 Aldersgate Street  
Town: London  
Postal code: EC1A 4HD  
Country: United Kingdom  
For the attention of: Mark Edwards  
E-mail: [seft.tenders@atoc.org](mailto:seft.tenders@atoc.org)  
Telephone: +44 2034404107

**Additional information can be obtained from:**

Official name: Rail Settlement Plan Limited  
Postal address: 2nd Floor, 200 Aldersgate Street  
Town: London  
Postal code: EC1A 4HD  
Country: United Kingdom  
For the attention of: Mark Edwards  
E-mail: [seft.tenders@atoc.org](mailto:seft.tenders@atoc.org)  
Telephone: +44 2034404107

**Specifications and additional documents (including documents for a dynamic purchasing system) can be obtained from:**

Official name: Rail Settlement Plan Limited  
Postal address: 2nd Floor , 200 Aldersgate  
Town: London  
Postal code: EC1A 4HD  
Country: United Kingdom  
For the attention of: Mark Edwards  
E-mail: [seft.tenders@atoc.org](mailto:seft.tenders@atoc.org)  
Telephone: +44 2034404107

**Tenders or requests to participate must be submitted:**

Official name: Rail Settlement Plan Limited  
Postal address: 2nd Floor, 200 Aldersgate Street  
Town: London  
Postal code: EC1A 4HD  
Country: United Kingdom  
For the attention of: Mark Edwards  
E-mail: [seft.tenders@atoc.org](mailto:seft.tenders@atoc.org)  
Telephone: +44 2034404107

**I.2. Main activity**

Railway services

**I.3. Contract award on behalf of other contracting entities**

## Section II: Object of the contract

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### II.1. Description

#### II.1.1. Title attributed to the contract by the contracting entity

ITSO Compliant Platform Validator (PVAL) Equipment and Associated Services.

#### II.1.2. Type of contract and place of performance or delivery

Services

Service category No 27: Other services

Main site or place of performance: Initially in the South East of England rail network with scope to extend nationally in the UK and to other public transport service providers.

NUTS code UK United Kingdom

#### II.1.3. Information about a framework agreement or a dynamic purchasing system

The procurement involves the establishment of a framework agreement

#### II.1.4. Information about framework agreement

Framework agreement with a single operator

##### Duration of the framework agreement

Duration in years: 3

##### Estimated total value of purchases for the entire duration of the framework agreement

Estimated value excluding VAT

Range: between 2 000 000 and 10 000 000 GBP

#### II.1.5. Short description of the contract or purchase(s)

Rail Settlement Plan Limited (RSP) is a company owned by the UK franchised Train Operating Companies (TOCs). RSP provides and manages on behalf of TOCs a number of shared services, ranging from the provision of ticket stock to the allocation of industry revenue. RSP is currently working with TOCs to provide a 'smart ticketing' infrastructure at stations in the South East of England. The SEFT programme has identified a requirement for ITSO compliant platform validator (PVAL) equipment and associated services within SEFT rail stations.

PVALs will be required in two forms – free standing and wall mounted, with hardwired power supply and ethernet connectivity. There will also be an optional requirement for wireless and mobile communication solutions.

The requirement includes the provision of equipment, hardware and software design, development, testing, and installation services. Maintenance and ongoing service support will also be required.

PVALs will conform to the ISO/IEC 14443 standard to allow reading of ITSO and contactless payment cards (CPCs). They must also support the installation of an ITSO Security Application Module (ISAM), and be capable of interfacing with an ITSO Host or Operator Processing System (HOPS). PVALs shall operate within an ITSO infrastructure as defined by the ITSO 2.1.4 specification, ITSO on National Rail (NR) specification RSPS 3002, and allow the collection and validation of ITSO products.

SEFT's vision is to allow customers to use contactless payment cards (CPCs) for transit within, and potentially beyond, the SEFT area. This functionality will be delivered to ensure PVALs are EMV (Visa, MasterCard and American Express) enabled to read approved CPCs.

An integral part of the PVAL enablement is the requirement for EMV Level 1 and Level 2 certification with support for EMV card schemes, namely:

Level 1 – covering hardware, and including electro-mechanical aspects of the card/reader

interface; and

Level 2 – covering software components associated with the interface between the card and reader only, including the scheme specific software kernels.

Adequate PCI DSS measures will be required within the PVAL unit to ensure the security of the cardholder data is not compromised.

Subject to the terms of the framework agreement, the goods/services to be provided under the framework may be provided directly to RSP, other public transport service providers and/or TOCS (from time to time and including any other entities akin to or replacing TOCs).

**II.1.6. CPV code(s)**

30162000 Smart cards, 30233300 Smart card readers, 60210000 Public transport services by railways

**II.1.7. Information about the Government Procurement Agreement (GPA)**

**II.1.8. Information about lots**

**II.1.9. Information about variants**

Variants will be accepted: yes

**II.2. Scope of the procurement**

**II.2.1. Total quantity or scope**

ITSO Compliant Platform Validator (PVAL) Equipment and Associated Services

**II.2.2. Information about options**

Options: yes

Description of options: RSP shall have the right to a 1 year extension dependent on market conditions and contract performance.

**II.2.3. Information about renewals**

This contract is subject to renewal: no

**II.3. Duration of the contract or time limit for completion**

Duration in months: 36 (from the award of the contract)

**Section III: Legal, economic, financial and technical information**

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**III.1. Conditions related to the contract**

**III.1.1. Deposits and guarantees required**

RSP's particular requirements will be set out in the ITN documentation and RSP reserves the right to require guarantees over performance.

**III.1.2. Main financing conditions and payment arrangements and/or reference to the relevant provisions governing them**

Details to be provided in the Invitation to Negotiate

**III.1.3. Legal form to be taken by the group of economic operators to whom the contract is to be awarded**

Where a consortium or other grouping of tenderers submits a bid, such consortia or grouping must nominate a lead organisation to deal with RSP Ltd on all matters relating to the Agreement. All consortium members or grouping will be required to be jointly and severally liable in respect to the obligations and liabilities relating to the Agreement

#### **III.1.4. Contract performance conditions**

The performance of the contract is subject to particular conditions: yes

Description of particular conditions: ITSO Compliant Platform Validator (PVAL) Equipment and Associated Services must comply with ITSO 2.1.4 or above and RSPS3002 as amended, as a minimum

#### **III.2. Conditions for participation**

##### **III.2.1. Suitability to pursue the professional activity, including requirements relating to enrolment on professional or trade registers**

List and brief description of conditions: Details required are included within the Pre-Qualification Questionnaire

##### **III.2.2. Economic and financial ability**

List and brief description of conditions: Details required are included within the Pre-Qualification Questionnaire

##### **III.2.3. Technical and professional ability**

List and brief description of conditions: Details required are included within the Pre-Qualification Questionnaire

Proof of Concept testing may be carried out prior to Tenderer selection being finalised

##### **III.2.4. Information about reserved contracts**

#### **III.3. Conditions specific to services contracts**

##### **III.3.1. Information about a particular profession**

##### **III.3.2. Information about staff responsible for the performance of the contract**

### **Section IV: Procedure**

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#### **IV.1. Type of procedure**

##### **IV.1.1. Type of procedure**

Negotiated

Some candidates have already been selected (if appropriate under certain types of negotiated procedures): no

#### **IV.2. Award criteria**

##### **IV.2.1. Award criteria**

The most economically advantageous tender in terms of the criteria stated in the specifications or in the invitation to tender or to negotiate

##### **IV.2.2. Information about electronic auction**

#### **IV.3. Administrative information**

##### **IV.3.1. File reference number attributed by the contracting entity**

##### **IV.3.2. Previous publication concerning this procedure**

Periodic indicative notice

Notice number in the OJ S: [2012/S 170-281721](#) of 5.9.2012

##### **IV.3.3. Conditions for obtaining specifications and additional documents**

(except for a DPS)

Time limit for receipt of requests for documents or for accessing documents: ..

**IV.3.4. Time limit for receipt of tenders or requests to participate**

9.12.2013 - 12:00

**IV.3.5. Languages in which tenders or requests to participate may be submitted**

English.

**IV.3.6. Minimum time frame during which the tenderer must maintain the tender**

**IV.3.7. Conditions for opening of tenders**

**Section VI: Complementary information**

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**VI.1. Information about recurrence**

**VI.2. Information about European Union funds**

**VI.3. Additional information**

Any party wishing to express an interest in the provision of RSP's requirements shall be required to complete and forward to RSP by the deadline set out in this notice a Prequalification Questionnaire (PQQ). A PQQ may be obtained upon request by email at [seft.tenders@atoc.org](mailto:seft.tenders@atoc.org)

Responses shall be submitted electronically in either MS Word or PDF format and forwarded to the email address provided in the PQQ and Tender Documentation.

Details of the full requirements and a draft contract will be made available in the Invitation to Negotiate (ITN) document which will be provided to shortlisted respondents.

Whilst it is intended that a maximum of four of the highest scoring submissions will be invited to participate in the ITN advertised, RSP reserves the right to alter the number of invitees.

(MT Ref:110100)

**VI.4. Procedures for review**

**VI.4.1. Review body**

**VI.4.2. Review procedure**

**VI.4.3. Service from which information about the review procedure may be obtained**

**VI.5. Date of dispatch of this notice**

6.11.2013