

United Kingdom-Warwick: Internal audit services
OJ S 199/2017 17/10/2017
Contract notice
Services

Legal Basis:

Directive 2014/24/EU

Section I: Contracting authority

I.1. Name and addresses

Official name: Warwickshire County Council
Postal address: Shire Hall, Market Place
Town: Warwick
NUTS code: UKG13 Warwickshire
Postal code: CV34 4RL
Country: United Kingdom
Contact person: Strategic Procurement Unit
E-mail: procurement@warwickshire.gov.uk
Telephone: +44 1926412728

Internet address(es):

Main address: www.warwickshire.gov.uk
Address of the buyer profile: www.warwickshire.gov.uk/procurement

I.1. Name and addresses

Official name: North Warwickshire Borough Council
Postal address: South Street
Town: Atherstone
NUTS code: UKG13 Warwickshire
Postal code: CV9 1DE
Country: United Kingdom
Contact person: Strategic Procurement Unit
E-mail: procurement@warwickshire.gov.uk
Telephone: +44 1926412728

Internet address(es):

Main address: www.northwarks.gov.uk
Address of the buyer profile: www.warwickshire.gov.uk/procurement

I.1. Name and addresses

Official name: Solihull Metropolitan Borough Council
Postal address: Council House, Manor Square
Town: Solihull
NUTS code: UKG32 Solihull
Postal code: B91 3QB
Country: United Kingdom
Contact person: Strategic Procurement Unit
E-mail: procurement@warwickshire.gov.uk
Telephone: +44 1926412728

Internet address(es):

Main address: www.solihull.gov.uk

Address of the buyer profile: www.warwickshire.gov.uk/procurement

I.1. Name and addresses

Official name: Nuneaton and Bedworth Borough Council

Postal address: Town Hall, Coton Road

Town: Nuneaton

NUTS code: UKG13 Warwickshire

Postal code: CV11 5AA

Country: United Kingdom

Contact person: Strategic Procurement Unit

E-mail: procurement@warwickshire.gov.uk

Telephone: +44 1926412728

Internet address(es):

Main address: www.nuneatonandbedworth.gov.uk

Address of the buyer profile: www.warwickshire.gov.uk/procurement

I.1. Name and addresses

Official name: Rugby Borough Council

Postal address: Town Hall, Evreux Way

Town: Rugby

NUTS code: UKG13 Warwickshire

Postal code: CV21 2RR

Country: United Kingdom

Contact person: Strategic Procurement Unit

E-mail: procurement@warwickshire.gov.uk

Telephone: +44 1926412728

Internet address(es):

Main address: www.rugby.gov.uk

Address of the buyer profile: www.warwickshire.gov.uk/procurement

I.1. Name and addresses

Official name: Warwick District Council

Postal address: Riverside House, Milverton Hill,

Town: Leamington Spa

NUTS code: UKG13 Warwickshire

Postal code: CV32 5HZ

Country: United Kingdom

Contact person: Strategic Procurement Unit

E-mail: procurement@warwickshire.gov.uk

Telephone: +44 1926412728

Internet address(es):

Main address: www.warwickdc.gov.uk

Address of the buyer profile: www.warwickshire.gov.uk/procurement

I.1. Name and addresses

Official name: Coventry City Council

Postal address: The Council House, Earl Street

Town: Coventry

NUTS code: UKG33 Coventry

Postal code: CV1 5RR

Country: United Kingdom
Contact person: Strategic Procurement Unit
E-mail: procurement@warwickshire.gov.uk
Telephone: +44 1926412728
Internet address(es):
Main address: www.coventry.gov.uk
Address of the buyer profile: www.warwickshire.gov.uk/procurement

I.1. Name and addresses

Official name: Gloucestershire County Council
Postal address: Shire Hall, Westgate Street
Town: Gloucester
NUTS code: UKK13 Gloucestershire
Postal code: GL1 2TG
Country: United Kingdom
Contact person: Strategic Procurement Unit
E-mail: procurement@warwickshire.gov.uk
Telephone: +44 1926412728
Internet address(es):
Main address: www.gloucestershire.gov.uk
Address of the buyer profile: www.warwickshire.gov.uk/procurement

I.1. Name and addresses

Official name: Telford and Wrekin Council
Postal address: 5a Darby House, Lawn Central, Town Centre
Town: Telford
NUTS code: UKG21 Telford and Wrekin
Postal code: TF3 4JA
Country: United Kingdom
Contact person: Strategic Procurement Unit
E-mail: procurement@warwickshire.gov.uk
Telephone: +44 1926412728
Internet address(es):
Main address: www.telford.gov.uk
Address of the buyer profile: www.warwickshire.gov.uk/procurement

I.1. Name and addresses

Official name: Lincolnshire County Council
Postal address: County Offices, Newland
Town: Lincoln
NUTS code: UKF3 Lincolnshire
Postal code: LN1 1YL
Country: United Kingdom
Contact person: Strategic Procurement Unit
E-mail: procurement@warwickshire.gov.uk
Telephone: +44 1926412728
Internet address(es):
Main address: www.lincolnshire.gov.uk
Address of the buyer profile: www.warwickshire.gov.uk/procurement

I.2. Information about joint procurement

The contract involves joint procurement

I.3. Communication

The procurement documents are available for unrestricted and full direct access, free of charge, at: www.csw-jets.co.uk

Additional information can be obtained from the abovementioned address

Tenders or requests to participate must be submitted electronically via: www.csw-jets.co.uk

Electronic communication requires the use of tools and devices that are not generally available. Unrestricted and full direct access to these tools and devices is possible, free of charge, at: www.csw-jets.co.uk

I.4. Type of the contracting authority

Regional or local authority

I.5. Main activity

General public services

Section II: Object

II.1. Scope of the procurement

II.1.1. Title

WCC — Internal Audit Services Framework.

Reference number: 00005206

II.1.2. Main CPV code

79212200 Internal audit services

II.1.3. Type of contract

Services

II.1.4. Short description

The requirement is for internal audit services for the participating Councils that can access the framework.

II.1.5. Estimated total value

Value excluding VAT: 864 000,00 GBP

II.1.6. Information about lots

This contract is divided into lots: yes

Tenders may be submitted for maximum number of lots: 4

Maximum number of lots that may be awarded to one tenderer: 4 The contracting authority reserves the right to award contracts combining the following lots or groups of lots:

Lot 1 — Warwickshire, Coventry, Solihull and Worcestershire.

Lot 2 — Gloucestershire.

Lot 3 — Telford and Wrekin.

Lot 4 — Lincolnshire.

II.2. Description

II.2.1. Title

Lot 1 — Warwickshire, Coventry, Solihull and Worcestershire

Lot No: 1

II.2.2. Additional CPV code(s)

79212200 Internal audit services

II.2.3. Place of performance

NUTS code: UKG West Midlands (England)

Main site or place of performance: Various public body sites in West Midlands including Warwickshire Councils, Coventry City Council, Solihull Metropolitan Borough Council & Worcestershire County Council.

II.2.4. Description of the procurement

Internal audit services will comprise mainly internal ICT auditing services, including the development of ICT audit plans; risk assessments on ICT systems, equipment and services; undertaking audit reviews and reports; and providing advice to internal audit staff on ICT audit matters. Additionally the contractor must have capacity for non ICT specialist work to provide additional audit resource if needed. Internal audit services will need to be carried out at a variety of locations in the West Midlands.

II.2.5. Award criteria

Price is not the only award criterion and all criteria are stated only in the procurement documents

II.2.6. Estimated value

Value excluding VAT: 551 760,00 GBP

II.2.7. Duration of the contract, framework agreement or dynamic purchasing system

Start: 01/04/2018 End: 31/03/2022

This contract is subject to renewal: no

II.2.10. Information about variants

Variants will be accepted: no

II.2.11. Information about options

Options: no

II.2.13. Information about European Union funds

The procurement is related to a project and/or programme financed by European Union funds:
no

II.2.14. Additional information

Warwickshire County Council and its clients' anticipated annual spend is 87 000 GBP
Nuneaton and Bedworth Borough Council's anticipated annual spend is 7 000 GBP
Rugby Borough Council's anticipated annual spend is 14 490 GBP
Warwick District Council's anticipated annual spend is 19 450 GBP
Coventry City Council's anticipated annual spend is 10 000 GBP
However there is no guarantee of business for this Lot.

II.2. Description**II.2.1. Title**

Lot 2 — Gloucestershire

Lot No: 2

II.2.2. Additional CPV code(s)

79212200 Internal audit services

II.2.3. Place of performance

NUTS code: UKK13 Gloucestershire

Main site or place of performance: Various public body sites in Gloucestershire including Gloucestershire County Council, Stroud Council, Gloucester City Council & Gloucestershire Police.

II.2.4. Description of the procurement

Internal audit services will comprise mainly internal ICT auditing services, including the development of ICT audit plans; risk assessments on ICT systems, equipment and services; undertaking audit reviews and reports; and providing advice to internal audit staff on ICT audit matters. Additionally the contractor must have capacity for non ICT specialist work to provide additional audit resource if needed. Audit work will need to be carried out at a variety of locations.

II.2.5. Award criteria

Price is not the only award criterion and all criteria are stated only in the procurement documents

II.2.6. Estimated value

Value excluding VAT: 182 000,00 GBP

II.2.7. Duration of the contract, framework agreement or dynamic purchasing system

Start: 01/04/2018 End: 31/03/2022

This contract is subject to renewal: no

II.2.10. Information about variants

Variants will be accepted: no

II.2.11. Information about options

Options: no

II.2.13. Information about European Union funds

The procurement is related to a project and/or programme financed by European Union funds: no

II.2.14. Additional information

Gloucestershire County Council and its clients' anticipated annual spend is 45 500 GBP
There is no guarantee of business for this Lot.

II.2. Description

II.2.1. Title

Lot 3 — Telford and Wrekin

Lot No: 3

II.2.2. Additional CPV code(s)

79212200 Internal audit services

II.2.3. Place of performance

NUTS code: UKG21 Telford and Wrekin

Main site or place of performance: Telford and Wrekin Council.

II.2.4. Description of the procurement

Internal audit services will comprise mainly internal ICT auditing services, including the development of ICT audit plans; risk assessments on ICT systems, equipment and services;

undertaking audit reviews and reports; and providing advice to internal audit staff on ICT audit matters. Additionally the contractor must have capacity for non ICT specialist work to provide additional audit resource if needed.

Audit work will need to be carried out at a variety of locations.

II.2.5. Award criteria

Price is not the only award criterion and all criteria are stated only in the procurement documents

II.2.6. Estimated value

Value excluding VAT: 1,00 GBP

II.2.7. Duration of the contract, framework agreement or dynamic purchasing system

Start: 01/04/2018 End: 31/03/2022

This contract is subject to renewal: no

II.2.10. Information about variants

Variants will be accepted: no

II.2.11. Information about options

Options: no

II.2.13. Information about European Union funds

The procurement is related to a project and/or programme financed by European Union funds:
no

II.2.14. Additional information

Telford and Wrekin Council will use the agreement on an ad-hoc basis as and when needs arise, but cannot commit to an annual pre-determined budget at this time.

There is no guarantee of business for this Lot.

II.2. Description

II.2.1. Title

Lot 4 — Lincolnshire

Lot No: 4

II.2.2. Additional CPV code(s)

79212200 Internal audit services

II.2.3. Place of performance

NUTS code: UKF3 Lincolnshire

II.2.4. Description of the procurement

Internal audit services will comprise mainly internal ICT auditing services, including the development of ICT audit plans; risk assessments on ICT systems, equipment and services; undertaking audit reviews and reports; and providing advice to internal audit staff on ICT audit matters. Additionally the contractor must have capacity for non ICT specialist work to provide additional audit resource if needed.

Audit work will need to be carried out at a variety of locations.

II.2.5. Award criteria

Price is not the only award criterion and all criteria are stated only in the procurement documents

II.2.6. Estimated value

Value excluding VAT: 1,00 GBP

II.2.7. Duration of the contract, framework agreement or dynamic purchasing system

Start: 01/04/2018 End: 31/03/2022

This contract is subject to renewal: no

II.2.10. Information about variants

Variants will be accepted: no

II.2.11. Information about options

Options: no

II.2.13. Information about European Union funds

The procurement is related to a project and/or programme financed by European Union funds:
no

II.2.14. Additional information

Lincolnshire County Council and its clients will use the agreement on an ad-hoc basis as and when needs arise, but cannot commit to an annual pre-determined budget at this time.
There is no guarantee of business for this Lot.

Section III: Legal, economic, financial and technical information

III.1. Conditions for participation

III.1.1. Suitability to pursue the professional activity, including requirements relating to enrolment on professional or trade registers

List and brief description of conditions:

The successful contractor(s) must deliver internal audit services compliant with the Public Sector Internal Audit Standards (PSIAS).

III.1.2. Economic and financial standing

Selection criteria as stated in the procurement documents

III.1.3. Technical and professional ability

Selection criteria as stated in the procurement documents

Section IV: Procedure

IV.1. Description

IV.1.1. Type of procedure

Open procedure

IV.1.3. Information about a framework agreement or a dynamic purchasing system

The procurement involves the establishment of a framework agreement

Framework agreement with several operators
Envisaged maximum number of participants to the framework agreement: 4

IV.1.8. Information about the Government Procurement Agreement (GPA)

The procurement is covered by the Government Procurement Agreement: yes

IV.2. Administrative information

IV.2.2. Time limit for receipt of tenders or requests to participate

Date: 14/11/2017 Local time: 10:00

IV.2.3. Estimated date of dispatch of invitations to tender or to participate to selected candidates

IV.2.4. Languages in which tenders or requests to participate may be submitted

English

IV.2.6. Minimum time frame during which the tenderer must maintain the tender

Duration in months: 3 (from the date stated for receipt of tender)

IV.2.7. Conditions for opening of tenders

Date: 14/11/2017 Local time: 12:00

Place:

Warwickshire County Council, Shire Hall, Market Place, Warwick, CV34 4RH.

Information about authorised persons and opening procedure: Officers of Warwickshire County Council and/or their appointed agents.

Section VI: Complementary information

VI.1. Information about recurrence

This is a recurrent procurement: yes

Estimated timing for further notices to be published:

September 2022.

VI.3. Additional information

Warwickshire County Council's current contract for internal auditing services is due to expire on 31 March 2018. The new framework commencing 1 April 2018 will be accessible by the following participating Councils:

- Warwickshire County Council and clients
- North Warwickshire Borough Council
- Nuneaton & Bedworth Borough Council
- Rugby Borough Council
- Solihull Metropolitan Borough Council
- Warwick District Council
- Coventry City Council
- Gloucestershire County Council and clients
- Telford and Wrekin Council
- Lincolnshire County Council and clients

Warwickshire County Council is leading this procurement process on behalf of the other participating Councils. Warwickshire County Council will be the contracting authority for the Internal Audit Services Framework Agreement.

The requirement is for internal auditing services, as defined by the Public Sector Internal Audit Standards, ie: Internal auditing is an independent, objective assurance and consulting activity designed to add value and improve an organisation's operations. It helps an organisation accomplish its objectives by bringing a systematic, disciplined approach to evaluate and improve the effectiveness of risk management, control and governance processes.

Internal audit services will comprise mainly internal ICT auditing services, including the development of ICT audit plans; risk assessments on ICT systems, equipment and services; undertaking audit reviews and reports; and providing advice to internal audit staff on ICT audit

matters. Additionally the contractor must have capacity for non ICT specialist work to provide additional audit resource if needed.

As audit work will need to be carried out at a variety of locations, the framework will be divided into the following geographical lots:

Lot 1 — Warwickshire, Coventry, Solihull and Worcestershire

Lot 2 — Gloucestershire

Lot 3 — Telford and Wrekin

Lot 4 — Lincolnshire

The services for each Lot will be delivered by one contractor. There is no guarantee of business for any of the Lots. Applicants are invited to bid for one or more of the lots. Where a tender is submitted for two or more lots, tenders should specify any applicable discount in the pricing schedule.

Please refer to the Service Specification and Framework Agreement for further details.

VI.4. Procedures for review

VI.4.1. Review body

Official name: Warwickshire County Council

Postal address: Shire Hall

Town: Warwick

Postal code: CV34 4RL

Country: United Kingdom

VI.5. Date of dispatch of this notice

13/10/2017